

Contact Person 1

(Mr / Mrs / Miss / Ms / Other)

E Mail : _____

Tel No : _____

Mobile No : _____

Contact Person 2

(Mr / Mrs / Miss / Ms / Other)

E Mail : _____

Tel No: _____

Mobile No : _____

Know Your Customer (KYC) Profile**First Applicant****Source of Funds ***

<input type="checkbox"/> Salary / Professional Income / Profits	<input type="checkbox"/> Family Remittances	<input type="checkbox"/> Gift / Inheritance
<input type="checkbox"/> Rent Income	<input type="checkbox"/> Business Turnover	<input type="checkbox"/> Investment Proceeds
<input type="checkbox"/> Sale of Property	<input type="checkbox"/> Other _____	

Occupation

Name of Employer

Joint / Minor Applicant**Source of Funds ***

<input type="checkbox"/> Salary / Professional Income / Profits	<input type="checkbox"/> Family Remittances	<input type="checkbox"/> Gift / Inheritance
<input type="checkbox"/> Rent Income	<input type="checkbox"/> Business Turnover	<input type="checkbox"/> Investment Proceeds
<input type="checkbox"/> Sale of Property	<input type="checkbox"/> Other _____	

Occupation

Name of Employer

Investor Bank Account Details *

Bank / Branch Name & Swift Code	Account Name & Number	Account Type

Investor Bank Account Details *

Bank / Branch Name & Swift Code	Account Name & Number	Account Type

Proof of Residency document provided by the applicant : (Please submit any one of the following documents.)

<input type="checkbox"/> NIC	<input type="checkbox"/> Electricity Bill	<input type="checkbox"/> Bank Statement / Credit Card Statement	<input type="checkbox"/> Mobile Phone Bill	<input type="checkbox"/> Valid Tenancy Agreement
<input type="checkbox"/> Income Tax Notice	<input type="checkbox"/> Telephone Bill	Statement Frequency		<input type="checkbox"/> Monthly
		<input type="checkbox"/> Weekly		

Operating Instructions

Either of us

All of us

Other/s (Specify) _____

Expected value of transactions per annum.

<input type="checkbox"/> Less than USD / LKR 10,000	<input type="checkbox"/> USD / LKR 10,000 to 100,000	<input type="checkbox"/> USD / LKR 100,000 to 1,000,000	<input type="checkbox"/> USD / LKR 1,000,000 to 5,000,000	<input type="checkbox"/> Over USD / LKR 5,000,000
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Other business and Connected Professional Activities: _____

Particulars	Nominee 1	Nominee 2	Nominee 3
Name of the Nominee			
Date of Birth			
NIC/PP /Birth Certificate No			
Relationship to the investor			
Percentage of Allocation			

If the nominee is a minor please provide the guardian details as follows,

Particulars	Nominee 1	Nominee 2	Nominee 3
Name			
NIC/PP No.			
Address			

Payment Information

		Hatton National Bank PLC, Head Office Branch	
Ceylon Treasury Income Fund	L K R		003010547572
Ceylon Index Fund	L K R		003010539801
Ceylon Tourism Fund	L K R		003010539759
Ceylon Financial Sector Fund	L K R		003010539768
Ceylon Income Fund	L K R		003010539810
Ceylon IPO Fund	L K R		003010539795
Ceylon Money Market Fund	L K R		003010539740
		Deutsch Bank AG, Colombo Branch	
Ceylon Dollar Bond Fund	U S D		0042242-05-0

Remarks \ Special Instructions

A PEP (Politically Exposed Person) Form is used by financial institutions, including Unit Trust Management Companies, to identify individuals who hold prominent public positions or have significant influence in political or governmental matters. This helps in adhering to Anti-Money Laundering (AML) and Counter Terrorist Financing laws, rules, regulations and ensuring compliance with legal standards.

“Politically Exposed Person” means an individual who is entrusted with prominent public functions either domestically or by a foreign country, or in an international organization and includes a head of a State or a Government, a politician, a senior government officer, judicial officer or military officer, a senior executive of a State-Owned Corporation/Government or Autonomous body and their family members and close associates.

NOTE-1. Close family members are spouse or a person with whom a politically exposed person is engaged in civil partnership and the person with whom politically exposed person is engaged in a life partnership or a politically exposed person is engaged in an informal life partnership, children of politically exposed persons who are engaged in a life partnership or persons with whom children of politically exposed persons is engaged in informal life partnership or parents of politically exposed persons.

NOTE-2. Close associate is every natural person which is known to have joint beneficial ownership of legal entity or legal arrangement, or any other close business relations with politically exposed persons, or which is the only beneficial owner of the legal person or legal arrangement which is known to be established for the benefit of politically exposed persons.

NOTE – 3 If you are a Politically Exposed person (PEP) you are required to fill a (PEP) form enclosed herewith.

Declaration

I / We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and I / we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I / we may be held liable for it.

I / We authorise Ceylon Asset Management Co. Ltd to accept Creation, Redemption Request and Switching Request between funds managed by the company via email in order to transact Units on my / our behalf. I / we further indemnify that acting on such instructions sent by email hold harmless to Ceylon Asset Management Co. Ltd.

I / We understand that the **VALUE OF UNITS MAY FLUCTUATE** and **CAPITAL GAINS OR LOSSES** may occur to **MY INVESTMENT VALUE** due to market conditions.

I / We understand that all **EMPLOYEES or AGENTS** of Ceylon Asset Management Co. Ltd are **Not** authorized to accept **CASH** from me / us. If I / we received such request for cash from an employee or agent, I / we will inform Ceylon Asset Management Co. Ltd immediately.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Signature

Date

D	D	M	M	Y	Y	Y	Y
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I. Investment Process

A. Applications are available at www.ceylonam.com or Ceylon Asset Management Co. Ltd (CAM)
Ground Floor, No.33, Parkland 1, Park Street, Colombo 02, Sri Lanka.

B. Please submit the following documents along with the completed Application Form:

a) Corporate Investor

- i) Certified copy of the Certificate of Incorporation.
- ii) Certified copy of Articles of Association.
- iii) Copy of the Board Resolution as per the Articles of Association.
- iv) A letter of Authorisation with specimen signatures and company seal to operate the investment.
- v) Certified National Identity Card (NIC) / Passport copies of Authorised Signatories & Board of Directors.
- vi) Details of ultimate beneficiary owners (Form – 15) .

(As per Customer Due Diligence Rules 1 of 2016 for Financial Institutions)

b) Individual Investor

- i) A copy of the NIC / Passport.
- ii) Billing proof of Address.
- iii) NIC / Passport copies of nominees (if any).
- iv) Proof of fund transfer.
- v) Proof to verify the bank A/C no. E.g. copy of the bank statement / pass book.

c) Foreign Investors : For LKR Funds managed by CAM

In order to repatriate capital and profits, inward remittances have to be transferred via an Inward Investment Account (IIA) from a commercial bank in Sri Lanka.

When withdrawing, proceeds will be transferred back to the IIA account, for conversion in to foreign exchange and repatriation.

Warning!

If funds are not invested via IIA accounts, foreigners will not be able convert the funds to foreign exchange and repatriate overseas. CDBF investors can invest directly from overseas or they may also invest through IIA.

II. Redemption Process

A. Individual Investors - Redemption request should be made by the investor via the following:

- i) Instructions given through the registered e-mail address to redemption@ceylonam.com
- ii) Letter posted / hand delivered to us with relevant signatures. In case of a joint account, both first & joint applicant should sign the redemption request.

B. Corporate Investors - Redemption request should be made by the investor by a signed scanned letter / hand delivered letter. (must sign under the seal as provided in the Letter of Authorization) via registered e-mail address to redemption@ceylonam.com. The original letter should be posted.

C. Corporates must sign under the seal as provided in the Letter of Authorisation.

D. Units will be redeemed based on buying price of the day the redemption request is received.

E. Funds will be remitted to the source bank account of the original investment.

F. For queries, please contact us on info@ceylonam.com or call us on +94 (11) 739 4000.

III. Notices to the Fund Manager

The manager must be notified immediately, in writing, in the event of any changes to the following:

- A) Address or contact details.
- B) Nominees
- C) Payment instructions.
- D) Registered email address.

IV. Nominations

Please note the nominations provided in section 05 of this Application Form will be applicable for all funds managed by Ceylon Asset Management.